

**Workforce Investment Act
Local Plan Modification
Program Year 2008-09
(Narrative Forms)**

Local Workforce Investment Area (LWIA):

Name of LWIA SANTA ANA

Submitted on 12-8-08

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WIA Local Plan Modification PY 2008-09

Modification # _____ LWIA: SANTA ANA

Date: 07/01/2008

Budget, Participant, and Performance Forms

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Workforce Investment Act (WIA) Strategic Five-Year Local Plan

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EXECUTIVE SUMMARY

Enclose a brief summary, not more than two pages, of the five-year strategic local plan that gives a general overview of the proposed local workforce investment system. Include a description of how the system looks today, and how it will change over the five-year plan period. Include a discussion of the local board's economic and workforce investment goals and how the local system will support these goals.

I. PLAN DEVELOPMENT PROCESS

WIA gives states and local areas a unique opportunity to develop employment and training systems tailored specifically to state and local area needs. The local plan is only as effective as the partnership that implements it. The plan should represent a collaborative process among the Chief Elected Official and the local system partners. This collaboration will create a shared understanding of the local area's workforce investment needs, a shared vision of how the local workforce investment system can be designed to meet those needs, and agreement on the key strategies to achieve this vision. This collaborative planning at all stages should drive local system development, create strategies for improvement, and provide the opportunity for stakeholder and public participation, review and comment.

In this section, describe the plan development process, including comments received during the public comment period that were incorporated within the plan. [WIA Section 118(a) and (c)(1)]

(Please note: we recognize that local areas are required to develop various related local plans and we encourage you, whenever feasible and appropriate, to use planning information that has already been developed. However, the data you use must be accurate and current.)

A. What was the role of the Chief Elected Official in developing the plan? [WIA Section 118(a)]

B. What local workforce investment board, transition board or existing body had oversight for the development of this local plan? If there was no such body, how will you create a responsible entity? [WIA Section 117(d)(4)]

C. Describe the process used to provide an opportunity for public comment, including comment by the Chief Elected Official; the local workforce investment board and youth council; other local governing bodies; educators; vocational rehabilitation agencies; service providers; community-based organizations; and migrant seasonal farm worker representatives. Describe the process used to get input for the plan prior to submission. [WIA Section 118(c)(1) and (b)(7)]

D. How were comments considered in developing the local WIA plan? [*State Planning Guidance* I B., and WIA Section 112(b)(9)]

E. Describe the method used to make copies of the local plan available through public hearings and through other means e.g., local news media and the Internet. [WIA Section 118(c)(2)]

F. What other organizations were involved in the development of the local plan? How were they involved?

II. LOCAL VISION AND GOALS

The federal *Planning Guidance and Instructions for Submission of the State's Strategic Five-Year Plan* indicates that "a vision creates organizational alignment around a picture of a transformed future. It propels the organization toward achieving difficult but attainable strategic goals. Vision drives systematic improvements and produces outcomes. It is dynamic, not static."

In this section, identify your broad strategic economic and workforce development goals (e.g., "All people who want to work can find jobs. There will be a growing number of business start-ups. Fewer people will rely on welfare assistance.") Include information on how the local plan is consistent with the State plan and describe how the local workforce investment system supports the shared vision in the attainment of your goals. In addition, describe your local strategies based on your local board's vision for business services and lifelong learning.

A. What is your vision for your local workforce investment system, and how will your system appear at the end of the five-year period covered by this plan? [*State Planning Guidance* II A., and WIA Section 117(d)(1)]

Some specific questions that may be considered are:

1. How will your local system integrate services over the next five years? [WIA Section 117(d)(1) and 118(a)]
2. What programs and funding streams will support service delivery through the One-Stop system? [WIA Section 121(b)(1)(B)]

3. Typically, what information and services will be provided and how will customers access them? How will the goal of universal access be achieved? [Title 20 Code of Federal Regulations (Title 20 CFR) Part 652, et al., Interim Final Rule (I)(A), State Planning Guidance II.A. bullet 3]
4. How will Wagner-Peyser Act and unemployment insurance services be integrated into the local system? [WIA Section 121(b)(1)(B)(xii)]
5. How will the youth programs be enhanced to expand youth access to the resources and skills they need to succeed in the State's economy? [WIA Section 111(d)(2) and 112(a)]

WIA Section 118 requires local plans to be consistent with the State Plan. In addition to California's Principles and Strategic Goals (WIAB99-2, *Local Plan Instructions and Forms*, page 3), please include strategies that reflect the Governor's four key priorities for California's public workforce system. The key priorities were not included in the *Initial/Supplemental Planning Narrative* pages or the *One-Year Extension for Program Year 2005-06*. They were introduced in the *Guidance for Local Plan Modifications for PY 2006-07*, via *Addendum*, item A. They are now listed below as follows:

The Governor's four key priorities for California's public workforce system:

- Understanding and Meeting the Workforce Needs of Business and Industry in order to prepare Workers for 21st Century Jobs
- Targeting Limited Resources to Areas Where They Can Have the Greatest Economic Impact
- Collaborating to Improve California's Educational System At All Levels
- Ensuring the Accountability of Public and Private Workforce Investments

B. Describe how your local vision and workforce development strategy is consistent with the Governor's workforce development priorities. [WIA Section 118(a)]

The California Workforce Investment Board (State Board) adopted vision statements regarding business services and lifelong learning that were not included in the *WIA Initial/Supplemental Planning Narrative* pages or the *One-Year Extension for Program Year 2005-06*. They were introduced in *Guidance for Local Plan Modifications for PY 2006-07*, via *Addendum*, item B. They are now listed below as follows:

The State Board vision statements:

- The One-Stop System, in collaboration with the economic development community, partners with California's business to provide best-in-class local services to business to support job retention and growth.

- The vision for lifelong learning, in the context of workforce development, is to enable current and future workers to continually acquire the knowledge, skills, and abilities required to be successful in the workplace.

C. Provide a description of your local strategies, based upon your local board's vision for business services, to improve the services to employers, and include in your description [WIA Section 118(b)(10)]:

1. Your vision and strategic planning efforts for business services.
2. How you use industry partnerships and other employer contacts to validate employer needs.
3. What actions the local board has taken, or plans to take, to ensure that local business services are not redundant and coordinated with partner programs such as Wagner-Peyser and Economic Development Corporations.
4. How the local board measures the satisfaction of business services and how the data are used to improve services.

D. Describe how the local board is addressing lifelong learning in the context of workforce development, through collaborative policy and planning. Specifically, describe how the local board will improve and promote access to lifelong learning in the next year. Include existing or planned efforts to leverage resources with local lifelong learning partners, including business and education.

E. Identify organizations involved in the development of your local vision and goals.

III. LABOR MARKET ANALYSIS

The *Planning Guidance and Instructions* requests information on key trends expected to shape the economic environment during the next five years, including the implications of these trends in terms of overall employment opportunities by occupation; key occupations; the skills needed to attain local occupational opportunities; growth industries and industries expected to decline, customer demographics, and the sources of data used to gather this information. Where appropriate, identify any regional economic development needs and describe how the local area will be involved in them.

In this section identify the needs of businesses, job training, and education seekers, economic development professionals, and training providers in your workforce investment area. Are these the same or different than those present in the previous service delivery area(s)? If different, how can the needs be better met by the new, local workforce investment system? To complete this section, answer the following questions.

A. What are the workforce investment needs of businesses, job-seekers, and workers in the local area? [WIA Section 118(b)(1)(A)]

B. How will the needs of employers be determined in your area? [State Planning Guidance IV.B.6]

C. What are the current and projected employment opportunities in the local area? [WIA Section 118(b)(1)(B)]

The latest Orange County data (10/17/08 press release) provided by the Employment Development Department, Labor Market Information Division indicates that the unemployment rate for Santa Ana has grown to 9.2 from 6.8 a year ago. In Orange County the unemployment rate has risen from 4.2 in August 2007 to 5.7 in September 2008. The one-stops are seeing larger numbers of clients who face the difficult challenge of competing with many highly skilled recently laid off workers for the small number of jobs available to them. It is anticipated that the competition for the traditional holiday season positions will be intense in 2008. Nonfarm employment increased by only 2,300 jobs during the past year. Government jobs were up but that was due to teachers returning to the classroom after the summer recess. Healthcare and social assistance jobs only grew by 400 jobs while leisure and hospitality had the largest decrease (2400 jobs) and construction was down 800 jobs.

D. What job skills are necessary to obtain such employment opportunities? [WIA Section 118(b)(1)(C)]

IV. LEADERSHIP

As stated in the *Federal Register* of April 15, 1999, "The Department [of Labor] believes that changing from the existing JTPA Private Industry Councils to local workforce investment boards is essential to the reforms of WIA [Interim Final Rule §661.305]. The Department [of Labor] strongly encourages all eligible areas to create new, fully functional local boards as early as possible, and is committed to providing assistance to facilitate such changes."

In this section describe how authority will be exercised by the local workforce investment board. [WIA Section 117(b)(3) and (d)(1)]

A. If an interim board was responsible for development of this plan, how will the plan and authority to oversee its implementation under WIA Section 117(d)(4) be transferred to the new local workforce investment board?

B. What circumstances constitute a conflict of interest for a local board member, including voting on any matter regarding provision of service by that member or the entity that s/he represents, and any matter that would provide a financial benefit to that member? [WIA Section 117(g)(1)(2)]

C. How will the local board provide a leadership role in developing policy, implementing policy, and oversight for the local workforce investment system? [WIA Section 117(d)(4)] Include in this discussion a description of your local board composition and how it meets the membership criteria set forth in the California Unemployment Insurance Code (CUIC) Section 14202.

The Santa Ana WIB anticipates receiving nominations from the Orange County Central Labor Council for two additional labor representatives in order to comply with SB293. This would bring us into compliance with 11% labor representatives. The Santa Ana WIB currently has 21 private sector members and 14 public sector members. Adding new labor representatives will not alter the majority balance of private sector members which would be at 57% at that point. The Santa Ana WIB is a active board that meets 6 times a year to review workforce data and action items brought forth by the various WIB committees. Collectively, the WIB committees meet approximately 30 times a year.

D. How will the local board assure the local system contributes to the achievement of the State's strategic goals? [WIA Section 118(a)]

E. How will the local board meet the requirement that neither the local board nor its staff provide training services without a written waiver from the Governor? [WIA Section 117 (f)(1)(A) and (B)]

1. If the local board plans to provide training services, describe which service. If a waiver is to be sought, a request for Waiver of Training Prohibition must be submitted for each specific training program.

F. How will the local board assure that the public (including persons with disabilities) have access to board meetings and activities including local board membership, notification of meetings, and meeting minutes? [WIA Section 117(e)]

V. LOCAL ONE-STOP SERVICE DELIVERY SYSTEM

The cornerstone of the new workforce investment system is One-Stop service delivery, which makes available numerous training, education and employment programs through a single customer-focused, user-friendly service delivery system at the local level. The One-Stop system must include at least one comprehensive physical center in each local area that must provide core services and access to programs and services of the One-Stop partners. The system may also include a network of affiliated One-Stop sites and specialized centers that address specific needs.

In this section describe how services will be coordinated through the One-Stop service delivery system. Additional required elements were introduced in *Guidance for Local Plan Modifications for PY 2006-07*, via Addendum items C 1-4. These elements are now incorporated into Section V, Boxes C, F, M and R. Also, include as applicable in boxes A through S, any changes to the One-Stop delivery system as a result of the State's replacement of the statutory performance measures specified in WIA Section 136(b)(2) with the common performance measures defined in Training and Employment Guidance Letter (TEGL) 17-05.

A. Describe the One-Stop delivery system in your local area. [WIA Section 118(b)(2)] Include a list of the comprehensive One-Stop centers and the other service points in your area.

Comprehensive One-Stop centers and the other service points in your area:

Santa Ana W/O/R/K Center	

B. Describe the process used for selecting the One-Stop operator(s) [WIA Section 121(d)(2)(A)] including the appeals process available to entities that were not selected as the One-Stop operators. [Interim Final Rule § 667.600 (b)(1)] Also, include the local board's policy regarding its selection of One-Stop operator(s), annual review of operations, and termination for cause. [CUIC Section 14206(d)]

C. Are each of the required WIA partners included in your One-Stop delivery system? How have they contributed to your planning and implementation efforts? If any required partner is not involved, explain the reason. [WIA Section 117(a)(2)(A)]

D. How will services provided by each of the One-Stop partners be coordinated and made available in the local One-Stop system? [WIA Section 121(c)(2)]

E. What is your plan for delivery of core and intensive services? [WIA Section 117(f)(2)]

Santa Ana is part of the Learning Lab pilot project. It began on July 1, 2008. The Integration Plan was submitted to the State in February 2008 and is included here by reference per WSD08-5 as no significant changes have been made however, the Memorandum of Operation (MOO) between the Santa Ana WORK Center and the Employment Development Department is attached. It is anticipated that under this new arrangement, the Santa Ana one-stop system will reroll 6000 customers

between July 1, 2008 and June 30, 2009. All customers will be registered with CalJOBS and enrolled into WIA core and intensive activities using the Job Training Automation (JTA) system.

F. What is your plan for administering Individual Training Accounts (ITAs) as defined in WIA Section 134(d)(4)(G), including any limitations you plan to impose on ITAs established in your area. If your local board is providing training services that are made as exceptions to the Individual Training Account process, describe the process you used to procure and justify these exceptions. This process must include a 30-day public comment period for interested providers. [Title 20 CFR Part 661.350(a)(5) and (10) and 663.430(a)] In addition, include the local board's policy addressing the amount and duration of ITAs based on market rate for local training programs. [CUIC Section 14206(h)]

Under the Learning Lab pilot project, the Santa Ana WIB has determined funds are not limited and are therefore available to provide training to any customer determined in need of such training by the one-stop career coaches. ITAs will be open to all regardless of income.

G. Describe how the WIA funds will be used to leverage other federal, State, local and private resources. How will these coordinated and leveraged resources lead to a more effective local system that expands the involvement of business, employers and individuals? [State Planning Guidance IV.B.3. and WIA Section 112(b)(10) and 121(c)(2)(A)(ii)] Include a brief discussion if your local board has entered into an agreement with another area (including another local board that is a city or county within the same labor market) to pay or share the cost of educating, training, or placing individuals participating in programs assisted under Title I of WIA, including provision of supportive services, provide copy of your approved agreement. [WIA Section 195(3)(B)]

H. Describe how the local system will meet the needs of dislocated workers; displaced homemakers; low-income individuals such as migrant and seasonal farm workers; public assistance recipients; women; minorities; individuals training for non-traditional employment; veterans; individuals with multiple barriers to employment; older individuals; people with limited English speaking ability; and people with disabilities. [State Planning Guidance IV.B.5. and WIA Section 112(b)(17) and Section 118(b)(4)]

I. When allocated adult funds are limited, what criteria will you use to determine and ensure priority of service to recipients of public assistance and other low-income individuals for receiving intensive and training services? [WIA Section 134(d)(4)(E) and 118(b)(4)]

J. How will the local system assure non-discrimination and equal opportunity, as well

as compliance with the Americans with Disabilities Act? [WIA Section 188(a)(2) and State Planning Guidance IV B.4.]

K. Describe how employer services (e.g. systems to determine general job requirements and job listings, including Wagner-Peyser Act services) will be delivered through the One-Stop system in your area. [State Planning Guidance IV.B.7]

L. What reemployment services will you provide to Worker Profiling and Reemployment Service claimants in accordance with Section 31 (e) of the Wagner-Peyser Act? [State Planning Guidance IV B.7. and WIA Section 121(b)(1)(B)(ii)]

M. What local policies and strategies are in place to ensure that, pursuant to the Jobs for Veterans Act (P.L.107-288)(38 USC 4215), priority of service is provided to veterans (and certain spouses) who otherwise meet the eligibility requirements for all employment and training programs funded by the Department of Labor, in accordance with the provisions of TEGL 5-03 (9/16/03)? Include in your discussion how this policy is shared with all of the One-Stop Career Center partners and if/how you conduct outreach to veterans and veteran organizations to encourage use of One-Stop Career Center services. How will you ensure that veterans receive priority in the local One-Stop system for Wagner-Peyser funded labor exchange services? [State Planning Guidance IV.B.9. and WIA Section 121(b)(1)(B)(ii)]

N. What role will Veterans Workforce Specialists and Veteran Employment Service Specialist (VWS/VSSS) have in the local One-Stop system? How will you ensure adherence to the legislative requirements for veterans' staff? [State Planning Guidance IV.B.10., 322, 38 USC Chapter 41 and 20 CFR Part 1001-120]

O. How will you provide Wagner-Peyser Act-funded services to the agricultural community—specifically, outreach, assessment and other services to migrant and seasonal farm workers, and services to employers? How will you provide appropriate services to this population in the One-Stop system? [State Planning Guidance IV B.11.]

P. How will the local board coordinate workforce investment activities carried out in the local area with the statewide rapid response activities? [WIA Section 118(b)(5) and State Planning Guidance IV.B13.b]

Q. What rapid response assistance will be available to dislocated workers and employers and who will provide them? [WIA Section 118(b)(4)(5) and State Planning Guidance IV B.13.c.]

R. How will your local board ensure continuous improvement of eligible providers of services through the system and ensure that such providers meet the employment needs of local employers and participants? [WIA Section 118(b)(2)(A)] Describe and assess the adult and dislocated worker employment and training services that will be available in your local area. [WIA, Section 118 (b)(4)(5)] In addition, include the local board's policy regarding training services available to adult and dislocated workers who have met the requirements for intensive services, have been unable to obtain or retain employment through those services, and have been determined to be in need of training. [WIA Section 134(d)(4)(A)(iii), Title 20 CFR Part 663.310(c) and CUIIC Section 14230(a)(5)]

S. MEMORANDUM OF UNDERSTANDING:

WIA requires that a Memorandum of Understanding (MOU) between the local board and each of the One-Stop partners concerning the operation of the One-Stop delivery system be executed. A copy of each MOU must be included with the plan modification. [WIA Section 118(b)(2)(B)]

The MOU may be developed as a single umbrella document, or as singular agreements between the partners and the board. The MOUs should present in concrete terms, member contributions and the mutual methodologies used in overseeing the operations of the One-Stop career center system.

1. The MOU must describe: [WIA Section 121(c)(1)(2)(A)(B) and CUIIC Section 14230(d)]

- a. What services will be provided through the One-Stop system.
- b. How the costs of services and operating costs will be funded, including cost-sharing strategies or methodologies.
- c. What methods will be used for referral of individuals between the One-Stop operator and partners?
- d. How long the MOU will be in effect.
- e. What procedures have been developed for amending the MOU?
- f. Other provisions consistent or as deemed necessary by the local board.
- g. The local board's policy for identifying individuals who, because of their skills or experience, should be referred immediately to training services.

2. Identify those entities with who you are in the process of executing an MOU. Describe the status of these negotiations. [Interim Final Rule §662.310(b)]

3. What process will the local board use to document negotiations with One-Stop partners who fail to participate or sign an MOU? How will you inform the state

board when negotiations have failed? [Interim Final Rule §662.310(b)]

The Santa Ana LWIA is currently in the process of renewing its MOUs with mandated partners and anticipates they will all be executed by the end of the year (12-31-08).

VI. YOUTH ACTIVITIES:

As a way to connect youth to workforce investment resources, WIA requires youth programs to be connected to the One-Stop system. WIA requires improved youth opportunities and Youth Councils to be part of local workforce investment systems. Youth councils have authority to develop the youth-related portions of the local plans, to recommend youth service providers to the local boards, to coordinate youth services, and to conduct oversight of local youth programs and eligible providers of youth programs.

In this section describe the strategies and tactics to develop a comprehensive service delivery system for eligible youth, and discuss how that system will be coordinated through the One-Stop system.

A. Describe your local area's efforts to construct a youth council, and what the role(s) of the Youth Council will be. [WIA Section 117 (h)(1)(2)(3)(4)]

The Santa Ana Youth Council continues to seek an apprenticeship representative as well as private sector representative as suggested by SB293. In addition the Youth Council has added two new in-school youth members and one out-of-school youth member who regularly attend and participate in Youth Council meetings. The Santa Ana Youth Council is an active WIB committee that meets monthly to review WIA funded programs and coordinate workforce development services. The One-Stop serves as the "Navigator" of the local WIA system. The navigator is responsible for the training and oversight of program services and outcomes.

B. How will youth services be connected with your One-Stop delivery system? [Interim Final Rule § 664.700]

Santa Ana has a Youth one-stop operational at the comprehensive one-stop center office in conjunction with our local EDD field office.

C. Describe how coordination with Job Corps, Youth Opportunity Grants, and other youth programs in your local area will occur, e.g. School-to-Career. [WIA Section 112(b)(18)(C) and 117(h)(2)(vi), and State Planning Guidance, IV B. 15.]

Even though the closest Job Corps program is in Los Angeles County (Long Beach), the Santa Ana Youth Council is fortunate to have a representative from that office as a member. Referrals to and from Job Corps are made by all youth providers.

D. Describe your area's eligible youth population and needs in general. Describe and assess the type and availability of youth activities in the local area. Include an identification of successful providers of such activities. [WIA Section 118(b)(6)]

E. What is your local area's strategy for providing comprehensive services to eligible in-school and out-of-school youth, including any coordination with foster care, education, welfare, and other relevant resources? Include any local requirements and activities to assist youth who have special needs or barriers to employment, including those who are pregnant, parenting, or have disabilities. [WIA Section 112(b)(18)(A), Interim Final Rule §664.400, and State Planning Guidance, IV B. 14]

F. Describe how your local area will meet the Act's provisions regarding the required youth program design elements: [WIA Section 129(c)(2)(A) through (J)] In addition, please discuss how your local area's youth program design has been modified as a result of the State's move toward common performance measures and its effect on meeting program accountability requirements. [WIA Section 136(b)(2) and TEGL 17-05]

1. Intake and Objective Assessment
2. Preparation for post-secondary educational opportunities
3. Strong linkages between academic and occupational learning
4. Preparation for unsubsidized employment opportunities
5. Effective linkages with intermediaries with strong employer connections
6. Alternative secondary school services
7. Summer employment opportunities
8. Paid and unpaid work experience

<p>9. Occupational skills training</p> <p>10. Leadership development opportunities</p>
<p>11. Comprehensive guidance and counseling</p> <p>12. Supportive services</p> <p>13. Follow-up services. [Interim Final Rule §664.450(a)(1) through (6)(b), and State Planning Guidance IV B.14.]</p>

VII. ADMINISTRATIVE REQUIREMENTS

A. What competitive process will be used to award grants and contracts for youth services in your local area? [WIA Section 118 (b)(9), 112(b)(18)(B) and 123]

B. What competitive and non-competitive processes will be used at the local level to award grants and contracts for activities under Title I of WIA, including how potential bidders are being made aware of the availability of grants and contracts? [WIA Section 118(b)(9)]

C. What entity will serve as the local grant recipient and be responsible for disbursing grant funds as determined by the Chief Elected Official? [WIA Section 117(d)(3)(B)(i)(I)(II)(III) and 118(b)(8)]

D. What criteria will the local board use in awarding grants for youth activities, including criteria used by the Governor and local boards to identify effective and ineffective youth activities and providers? [WIA Section 112(b)(18)(B) and State Planning Guidance III B.1.f.]

E. What is your local area's definition regarding the sixth youth eligibility criterion, ("an individual who requires additional assistance to complete an educational program, or to secure and hold employment")? [WIA Section 101(13)(c)(vi)]

F. What process will be used to allow public review and comment for specific

performance outcomes and measures when these have been negotiated?

VIII. ASSURANCES

- A. The Local Workforce Investment Board assures that it will comply with the uniform administrative requirements referred to in WIA Section 184(a)(3).
- B. The Local Workforce Investment Board assures that no funds received under the Workforce Investment Act will be used to assist, promote, or deter union organizing. [WIA Section 181(b)(7)]
- C. The Local Workforce Investment Board assures that the board will comply with the nondiscrimination provisions of WIA Section 188.
- D. The Local Workforce Investment Board assures that the board will collect and maintain data necessary to show compliance with the nondiscrimination provisions of WIA Section 188.
- E. The Local Workforce Investment Board assures that there will be compliance with grant procedures of WIA Section 189(c).
- F. The Local Workforce Investment Board assures that funds will be spent in accordance with the Workforce Investment Act, written Department of Labor guidance, and other applicable Federal and State laws and regulations.
- G. The Local Workforce Investment Board assures that veteran workforce investment programs funded under WIA, Section 168 will be carried out in accordance with that Section.
- H. The Local Workforce Investment Board assures it will comply with future State Workforce Investment Board policies and guidelines, legislative mandates, or other special provisions as may be required under Federal law or policy, including the Workforce Investment Act or State legislation.
- I. The Local Workforce Investment Board assures that when allocated adult funds for employment and training activities are limited, priority shall be given to recipients of public assistance and other low-income individuals for intensive and training services. [WIA Section 134(d)(4)(E), 118(b)(4), and CUIC Section 14230(a)(6)]
- J. The Local Workforce Investment Board certifies that its One-Stop Centers will recognize and comply with applicable labor agreements affecting represented employees located in the Centers. This shall include the right to access by State labor organization representatives pursuant to the Ralph Dills Act. [Chapter 10.3 (commencing with Section 3512) of Division 4, of Title 1 of the Government Code, and CUIC Section 14233]

- K. The Local Workforce Investment Board assures that State employees who are located at the One-Stop Centers shall remain under the supervision of their employing department for the purposes of performance evaluations and other matters concerning civil service rights and responsibilities. State employees performing services at One-Stop Centers shall retain existing civil service and collective bargaining protections on matters relating to employment, including but not limited to: hiring, promotion, discipline, and grievance procedures.
- L. The Local Workforce Investment Board assures that when work-related issues arise at One-Stop Centers between State employees and operators or supervisors of other partners, the operator or other supervisor shall refer such issues to the State employee's civil service supervisor. The One-Stop Career Center operators and partners shall cooperate in the investigation of the following matters: discrimination under the California Fair Employment and Housing Act [Part 2.8 (commencing with Section 12900) of Division 3, of Title 2 of the Government Code], threats and/or violence concerning State employees, and State employee misconduct.
- M. One-Stop Operator is responsible for administering One-Stop Center services in accord with roles to be determined by the Local Workforce Investment Board. The Local Workforce Investment Board assures that it will select the One-Stop Operator with the agreement of the Chief Elected Official, through one of three means:
1. Through a consortium of at least three or more required One-Stop partners;
or
 2. Through competitive process such as a Request for Proposal; or
 3. It may serve as the One-Stop Operator directly but only with the consent of the Chief Elected Official and the Governor.

The only time these selection procedures are not required is in the following circumstances inclusive: the One-Stop delivery system, of which the operator is a part, existed before August 7, 1998; the existing One-Stop system includes all of the required One-Stop partners; and an MOU has been executed which is consistent with the requirements of the Act. [WIA Section 121(d)(2)(A), and Title 20 CFR Part 662.410

IX. PROGRAM ADMINISTRATION DESIGNEE AND PLAN SIGNATURES

This Local Plan represents the SANTA ANA Workforce Investment Board's efforts to maximize and coordinate resources available under Title I of the Workforce Investment Act (WIA) of 1998.

This Local Plan is submitted for the period of April 1, 2008 through June 30, 2009 in accordance with the provisions of WIA.

Local Workforce Investment Board Chair

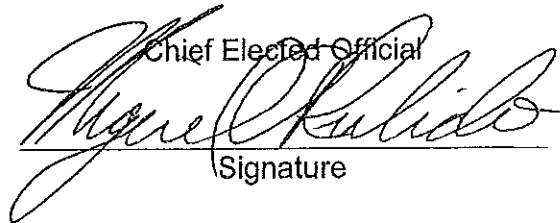

Signature

Michael R. Hood
Name

Chairman
Title

November 21, 2008
Date

Chief Elected Official


Signature

Miguel A. Pulido
Name

Mayor
Title

December 1, 2008
Date



**Workforce Investment Area
Local Plan Modification
Program Year 2008–09
(Budget, Participant, and Performance Forms)**

LWIA: SANTA ANA

Submitted on: 10-30-08

Contact Person: FRAN L. JUTZI

Contact Person's Telephone Number: 714-565-2621

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WIA Local Plan Modification PY 2008-09

Modification # _____

LWIA: _____ CITY OF SANTA ANA

Date: _____ 07/01/08

TITLE IB BUDGET PLAN SUMMARY (Adult or Dislocated Worker)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM TYPE for PY 2008, beginning 07/01/08 through 06/30/09

Grant Code 201/202/203/204 WIA IB-Adult

Grant Code 501/502/503/504 WIA IB-Dislocated Worker

FUNDING IDENTIFICATION	R865489 Subgrant	R970558 Subgrant
1. Year of Appropriation	2007	2008
2. Formula Allocation	1,184,766	1,292,620
3. Allocation Adjustment - Plus or Minus	(78,458)	
4. Transfers - Plus or Minus	(350,000)	
5. TOTAL FUNDS AVAILABLE (Line 2 plus 4)	756,308	1,292,620

TOTAL ALLOCATION COST CATEGORY PLAN	R865489 Subgrant	R970558 Subgrant
6. Program Services (sum of Lines 6A through 6E)	680,678	1,163,358
A. Core Self Services	117,883	-
B. Core Registration Services	140,930	500,000
C. Intensive Services	189,428	463,358
D. Training Services	37,833	50,000
E. Other	194,604	150,000
7. Administration (Line 5 minus 6)	75,630	129,262
8. TOTAL (Lines 6 plus 7)	756,308	1,292,620

QUARTERLY TOTAL EXPENDITURE PLAN (cumulative from July 1, 2007 and July 1, 2008 respectively)	R865489 Subgrant	R970558 Subgrant
9. September 2007	12,580	
10. December 2007	35,738	
11. March 2008	161,128	
12. June 2008	331,498	
13. September 2008	533,498	15,000
14. December 2008	733,498	40,000
15. March 2009	756,308	200,000
16. June 2009		450,000
17. September 2009		700,000
18. December 2009		1,000,000
19. March 2010		1,292,620
20. June 2010		

Fran L. Jutzi, MIS Supervisor

(714) 565-2621

7/01/08

Contact Person, Title

Telephone Number

Date Prepared

Comments:

NOTE: Final Rule 667.160, What Reallocation Procedures Must the Governors Use, discusses local area obligation rates, recapture, and reallocation. Also see WIA Directive WIAD01-10.

WIA Local Plan Modification PY 2008-09

Modification # _____

LWIA: CITY OF SANTA ANA

Date: 07/01/08

TITLE IB BUDGET PLAN SUMMARY (Adult or Dislocated Worker)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM TYPE for PY 2008, beginning 07/01/08 through 06/30/09

Grant Code 201/202/203/204 WIA IB-Adult

Grant Code 501/502/503/504 WIA IB-Dislocated Worker

FUNDING IDENTIFICATION	R865489 Subgrant	R970558 Subgrant
1. Year of Appropriation	2007	2008
2. Formula Allocation	549,023	717,608
3. Allocation Adjustment - Plus or Minus	(30,401)	
4. Transfers - Plus or Minus	350,000	
5. TOTAL FUNDS AVAILABLE (Line 2 plus 4)	868,622	717,608

TOTAL ALLOCATION COST CATEGORY PLAN	R865489 Subgrant	R970558 Subgrant
6. Program Services (sum of Lines 6A through 6E)	781,760	645,848
A. Core Self Services	66,352	-
B. Core Registration Services	79,324	250,000
C. Intensive Services	97,245	250,000
D. Training Services	366,162	45,848
E. Other	172,677	100,000
7. Administration (Line 5 minus 6)	86,862	71,760
8. TOTAL (Lines 6 plus 7)	868,622	717,608

QUARTERLY TOTAL EXPENDITURE PLAN (cumulative from July 1, 2007 and July 1, 2008 respectively)		
9. September 2007	12,521	
10. December 2007	266,057	
11. March 2008	506,229	
12. June 2008	719,902	
13. September 2008	759,902	15,000
14. December 2008	799,902	100,000
15. March 2009	859,902	250,000
16. June 2009	868,622	350,000
17. September 2009		450,000
18. December 2009		550,000
19. March 2010		650,000
20. June 2010		717,608

Fran L. Jutzi, MIS Supervisor

(714) 565-2621

8/27/08

Contact Person, Title

Telephone Number

Date Prepared

Comments:

NOTE: Final Rule 667.160, What Reallocation Procedures Must the Governors Use, discusses local area obligation rates, recapture, and reallocation. Also see WIA Directive WIAD01-10.

WIA Local Plan Modification PY 2008-09
 Modification # _____

LWIA: CITY OF SANTA ANA
 Date: 04/01/08

TITLE IB BUDGET PLAN SUMMARY (Youth)

WIA 118; 20 CFR 661.350(a)(13)

PROGRAM TYPE for PY 2008, beginning 04/01/08 through 06/30/09

Grant Code 301/302/303/304 WIA IB-Youth

FUNDING IDENTIFICATION	R865489 Subgrant	R970558 Subgrant
1. Year of Appropriation	2007	2008
2. Formula Allocation	1,241,367	1,338,849
3. Allocation Adjustment - Plus or Minus	(80,720)	
4. TOTAL FUNDS AVAILABLE (Line 2 plus 3)	1,160,647	1,338,849
TOTAL ALLOCATION COST CATEGORY PLAN		
5. Program Services (sum of Lines 5A and 5B)	1,044,583	1,204,965
A. In School	444,583	500,000
B. Out-of-School (30%)	600,000	704,965
6. Administration (Line 4 minus 5)	116,064	133,884
7. TOTAL (Line 5 plus 6)	1,160,647	1,338,849
QUARTERLY TOTAL EXPENDITURE PLAN (cumulative from April 1, 2007 and April 1, 2008 respectively)		
8. June 2006	0	
9. September 2006	0	
10. December 2006	132,342	
11. March 2007	446,459	
12. June 2007	676,000	0
13. September 2007	876,000	0
14. December 2007	1,076,000	1,500
15. March 2008	1,160,647	150,000
16. June 2008		400,000
17. September 2008		700,000
18. December 2008		1,000,000
19. March 2009		1,338,849
20. June 2009		

Fran L. Jutzi, MIS Supervisor
 Contact Person, Title

714-565-2621
 Telephone Number

8/27/08
 Date Prepared

Comments:

NOTE: Final Rule 667.160, What Reallocation Procedures Must the Governors Use, discusses local area obligation rates, recapture, and reallocation. Also see WIA Directive WIAD01-10.

<input checked="" type="checkbox"/> WIA Local Plan Modification PY 2008-09	LWIA: <u>CITY OF SANTA ANA</u>
<input type="checkbox"/> Modification # _____	Date: <u>07/01/08</u>

TITLE IB PARTICIPANT PLAN SUMMARY

WIA 118; 20 CFR 661.350(a)(13)

Plan the number of individuals that are in each category.

Totals for PY 2008 (07/01/08 through 06/30/09)	ADULT	DW	YO	YY
1. Registered Participants Carried In from PY 2006	70	107	13	8
2. New Registered Participants for PY 2007	4,130	1,693	33	76
3. Total Registered Participants for PY 2007 (Line 1 plus 2)	4,200	1,800	46	84
4. Exiters for PY 2007	2,940	1,260	38	77
5. Registered Participants Carried Out to PY 2008 (Line 3 minus 4)	1,260	540	8	7

PROGRAM SERVICES				
6. Core Self Services	-	-		
7. Core Registered Services	4,200	1,800		
8. Intensive Services	3,402	1,458		
9. Training Services	70	62		

SKILL ATTAINMENT				
10. Attained a Skill/Goal				72

EXIT STATUS				
11. Entered Employment	2,352	996	26	7
11A. Training-related	33	22	-	-
11B. Entered Postsecondary/Advanced/Credential Program	-	-	20	
12. Remained with Layoff Employer		-		
13. Entered Military Service				-
14. Entered Advanced Training			-	-
15. Entered Postsecondary Education			5	30
16. Entered Apprenticeship Program				1
17. Attained High School Diploma/GED				30
18. Returned to Secondary School				30
19. Exited for Other Reasons	588	264	7	9

Fran L. Jutzi, MIS Supervisor	565-2621	8/27/08
Contact Person, Title	Telephone	Date Prepared

Comments:

WIA Local Plan Modification PY 2008-09

Modification # _____ LWIA: SANTA ANA

Date: 07/01/2008

Budget, Participant, and Performance Forms
STATE NEGOTIATED LEVELS OF PERFORMANCE¹

WIA Requirement at Section 136(c)	PY 2005-06	PY 2006-07	PY 2007-08	PY 2008-09
Adults				
Entered Employment Rate	73%	74%	77%	78%
Employment Retention Rate	79%	80%	82%	83%
Earnings Change/Average Earnings ²	\$3500	\$11,800	\$12,400	\$12,500
Employment and Credential Attainment Rate	56%	58%	N/A	N/A
Dislocated Workers				
Entered Employment Rate	81%	82%	85%	86%
Employment Retention Rate	85%	86%	87%	88%
Earnings Change/Average Earnings ²	-\$3000	\$15,400	\$15,800	\$15,900
Employment and Credential Attainment Rate	66%	67%	N/A	N/A
Youth (ages 14-21)				
Placement in Employment or Education	N/A	N/A	TBD	TBD
Attainment of a Degree or Certificate	N/A	N/A	TBD	TBD
Literacy and Numeracy Gains	N/A	N/A	TBD	TBD
Older Youth (ages 19-21)³				
Entered Employment Rate	72%	73%	N/A	N/A
Employment Retention Rate	80%	81%	N/A	N/A
Earnings Change	\$3700	\$3800	N/A	N/A
Employment and Credential Attainment Rate	36%	39%	N/A	N/A
Younger Youth (ages 14-18)³				
Skill Attainment Rate	83%	84%	N/A	N/A
Diploma or Equivalent Rate	66%	67%	N/A	N/A
Retention Rate	63%	64%	N/A	N/A
Customer Satisfaction³				
Participant Satisfaction Score	75	75	N/A	N/A
Employer Satisfaction Score	75	75	N/A	N/A

1 Guidance on the definitions of specific indicators for state and local performance can be found at the U.S. Department of Labor (DOL) WIA Web site. Specific Training and Employment Guidance Letters (TEGL) include, but are not limited to 8-99, 11-01, 27-04, 35-04, and 17-05. Additional guidance can be found at the EDD Workforce Development Community Directives Web site and Information Notices Web site. Specific Directives include, but are not limited to WIAD05-15, 06-5, 06-14, and WSD07-5. Specific Information Notices include, but are not limited to WSIN07-4 and 07-33. The DOL Employment and Training Administration approved California's waiver request to move from the statutory performance measures specified in WIA Section 136(b)(2) to the common performance measures defined in TEGL 17-05. This waiver is effective July 1, 2007 through June 30, 2009.

2 For PY 2005-06, the goal was an "Earnings Change". Effective July 1, 2006, the definition was changed to reflect an "Average Earnings". See TEGL 17-05.

3 Per WSIN07-33, the DOL approved California's waiver request to move from the statutory performance measures specified in WIA Section 136(b)(2) to the common performance measures defined in TEGL 17-05. Accordingly, these performance measures are no longer applicable.

WIA Local Plan Modification PY 2008-09

Modification # _____ LWIA: SANTA ANA

Date: _____ 07/01/2008

Budget, Participant, and Performance Forms
LOCAL NEGOTIATED LEVELS OF PERFORMANCE¹

WIA Requirement at Section 136(c)	PY 2005-06	PY 2006-07	PY 2007-08
Adults			
Entered Employment Rate	78.9	78.9	79.4
Employment Retention Rate	80.6	81.6	82.5
Earnings Change/Average Earnings ²	2800	2040	12,000
Employment and Credential Attainment Rate	50	56	N/A
Dislocated Workers			
Entered Employment Rate	77.7	78.7	79
Employment Retention Rate	85.4	86.4	87
Earnings Change/Average Earnings ²	-3000	-3000	14,000
Employment and Credential Attainment Rate	66	67	N/A
Youth (ages 14-21)			
Placement In Employment or Education	N/A	N/A	65
Attainment of a Degree or Certificate	N/A	N/A	45
Literacy and Numeracy Gains	N/A	N/A	15
Older Youth (ages 19-21)³			
Entered Employment Rate	71.4	72.4	N/A
Employment Retention Rate	77.4	78.4	N/A
Earnings Change	3400	3622	N/A
Employment and Credential Attainment Rate	38	39	N/A
Younger Youth (ages 14-18)³			
Skill Attainment Rate	84	84.5	N/A
Diploma or Equivalent Rate	66	67	N/A
Retention Rate	67.7	69.7	N/A
Customer Satisfaction³			
Participant Satisfaction Score			N/A
Employer Satisfaction Score			N/A

1 Guidance on the definitions of specific indicators for state and local performance can be found at the U.S. Department of Labor (DOL) [WIA](#) Web site. Specific Training and Employment Guidance Letters (TEGL) include, but are not limited to 8-99, 11-01, 27-04, 35-04, and 17-05. Additional guidance can be found at the EDD Workforce Development Community [Directives](#) Web site and [Information Notices](#) Web site. Specific Directives include, but are not limited to WIAD05-15, 06-5, 06-14, and WSD07-5. Specific Information Notices include, but are not limited to WSIN07-4 and 07-33. The DOL Employment and Training Administration approved California's waiver request to move from the statutory performance measures specified in WIA Section 136(b)(2) to the common performance measures defined in TEGL 17-05. This waiver is effective July 1, 2007 through June 30, 2009.

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Budget, Participation, and Performance Forms

**STATE OF CALIFORNIA
LOCAL AREA GRANT RECIPIENT LISTING**

[WIA Sections 117(d)(3)(B)(i) and 118(b)(8)]

SANTA ANA

(Name of Local Workforce Investment Area)

ENTITY	ORGANIZATION	CONTACT (NAME/TITLE)	MAILING ADDRESS (STREET, CITY, ZIP)	TELEPHONE, FAX, E-MAIL
Grant Recipient (or Subrecipient if applicable)	City of Santa Ana	Miguel A. Pulido Mayor mpulido@santa-ana.org	20 Civic Center Plaza P.O. Box 1988, M31 Santa Ana, CA 92702-1988	714-647-6900 714-647-6954 fax
Fiscal Agent	City of Santa Ana	Faye Wong, Sr. Accountant fwong@santa-ana.org	P.O. Box 1988, M17 Santa Ana, CA 92702-1988	714-647-5427 714-647-5414 fax
Local Area Administrator	City of Santa Ana	David N. Ream, City Mgr. achristensen@santa-ana.org	P.O. Box 1988, M31 Santa Ana, CA 92702-1988	714-647-5200 714-647-6954 fax
Local Area Administrator Alternate	City of Santa Ana	Cynthia J. Nelson, Deputy City Mgr. for Development Services cnelson@santa-ana.org	P.O. Box 1988, M25 Santa Ana, CA 92702-1988	714-647-5323 714-647-6736 fax

Signature:  Chief Elected Official _____ Date 12-3-08

If a Local Grant Subrecipient has been designated, please submit a copy of the agreement between the Chief Elected Official and the Subrecipient. The agreement should delineate roles and responsibilities of each, including signature authority.